

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael D. Kupfer Attorney Docket No.: SUN1P722/P5658

Application No.: 10/014,640 Examiner: Unassigned

Filed: October 25, 2001 Group: Unassigned

Title: MANDATORY LOCKING MECHANISMS Confirmation No.: 1409

FOR UNIX FILE SYSTEMS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as first-class mail on January 31, 2005 in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signed: Ou Cuc

Susan W. Xu

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The references listed in the attached PTO Form 1449 copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding EPO application. A copy of the EPO Search Report, which was dated January 4, 2005 and received on January 13, 2005, is enclosed herewith as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that additional information (material to the examination of this application) does not exist or that the enclosed reference(s) constitute prior art.

Statement under 37 CFR § 1.97(e)

The undersigned hereby states that the references enclosed herewith were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))

Statement under 37 CFR § 1.704(d)

The undersigned hereby states that the references enclosed herewith were first cited in a communication from a foreign patent office in a counterpart foreign application and that this

communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

Authorization for Deposit Account

In view of the foregoing, it is respectfully submitted that no fee is due in conjunction with the filing of this IDS. However, should the Commissioner determine that additional fees are required for filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. SUN1P722).

Respectfully submitted,

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| Form 1449 (Aladified) | Atty Docket No. | Application No.: |
|-----------------------------------|-------------------|------------------|
| | 10/014,640 | SUN1P722/P5658 |
| Information Disclosure | Applicant: | |
| Statement By Applicant | Michael D. Kupfer | |
| | Filing Date | Group |
| (Use Several Sheets if Necessary) | October 25, 2001 | Unassigned |

U.S. Patent Documents

| Examiner | | | | | | Sub- | Filing |
|----------|-----|------------|------|----------|-------|-------|--------|
| Initial | No. | Patent No. | Date | Patentee | Class | class | Date |
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Foreign Patent or Published Foreign Patent Application

| Examiner | | Document | Publication | Country or | | Sub- | Trans | slation |
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| Initial | No. | No. | Date | Patent Office | Class | class | Yes | No |
| | J | EP0588502A2 | 03/23/94 | EPO | | | X | |
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Other Documents

| Examiner Initial | No | Author, Title, Date, Place (e.g. Journal) of Publication |
|---------------------|----|--|
| | 0 | Eckstein et al. "Using Samba, sec 1 and 5.5" November 1999, pages 1-7, XP002296584 |
| | P | |
| | Q | |
| Examiner | 1 | Date Considered |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.